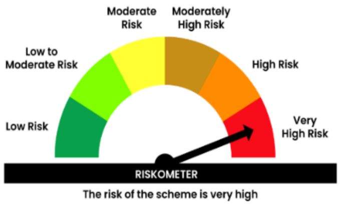
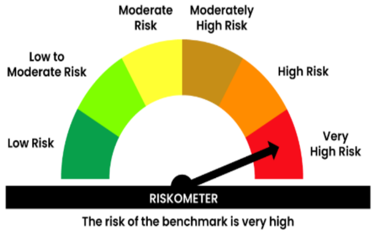
KEY INFORMATION MEMORANDUM (KIM)

ALPHAGREP FLEXI CAP FUND

(**An open-ended dynamic equity scheme investing across large cap, mid cap, small cap stocks**)

This product is suitable for investors who are seeking*:	***Scheme Riskometer***	***Benchmark Riskometer Nifty 500 Total Return Index (TRI) (As per AMFI Tier I Benchmark)***
• Long-Term Capital Appreciation • Investment in equity and equity related instruments of companies across market capitalization		

* Investors should consult their financial advisers if in doubt about whether the product is suitable for them

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when actual investments are made.

Offer for Units of **Rs. 10/-** each for cash during the New Fund Offer and Continuous Offer for Units at NAV based prices.

New Fund Offer Opens on: July 21, 2026
New Fund Offer Closes on: August 04, 2026
Scheme re-opens on or before: August 18, 2026

	Mutual Fund	**Asset Management Company**	**Trustee Company**
Name	**AlphaGrep Mutual Fund**	**AlphaGrep Investment Management Private Limited**	**AlphaGrep Mutual Fund Trustee Private Limited**
Address	Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India.	Unit no. 12 A-05, 13th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India. **CIN:** U67100MH2021PTC358070	Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India. **CIN:** U66190MH2025PTC455751
Website	www.alphagrepmf.ai	www.alphagrepim.com	-

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website https://www.alphagrepmf.ai/insights#tab5**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated July 09, 2026.

Investment Objective	The investment objective of the Scheme is to generate long term capital appreciation by investing in equity and equity related instruments across market capitalization. There is no assurance that the investment objective of the Scheme will be achieved.

Asset Allocation Pattern of the scheme	Under normal circumstances, the asset allocation pattern will be:

Instruments	Indicative allocations (% of total assets)	
	Minimum	**Maximum**
Equity and Equity-related instruments of large cap, mid cap and small cap companies**	65%	100%
Debt and Money Market Instruments	0%	35%
Gold and Silver instruments as permitted by SEBI	0%	35%
Units issued by InvITs	0%	10%

** The Scheme will adopt the list of large, mid and small cap companies as defined by SEBI/AMFI, from time to time. Presently as per Para 3.9 (on Definition of Large Cap, Mid Cap and Small Cap) of the Master Circular, large cap companies will comprise of companies from 1st to 100th companies, mid cap companies will comprise of companies from 101st to 250th and small cap companies will comprise of companies from 251st onwards in terms of full market capitalization. The Scheme would adopt the list of large, mid and small cap companies prepared by AMFI for this purpose. If there is any updation in the list of large, mid and small cap companies, the Scheme would rebalance its portfolio (if required) in line with the updated list, within a period of one month.

Equity and Equity related instruments including (but not limited to) convertible debentures, equity warrants, convertible preference shares, partially paid shares, index derivatives, rights entitlements to equity shares, global depository receipts, equity derivatives, units issued by REITs, etc.

- Pursuant to paragraphs 8.5, 8.6 and 13.15 of the Master Circular, as may be amended from time to time, the Scheme may also use various derivative and hedging products from time to time in a manner permitted by SEBI to reduce the risk of the portfolio as and when the Fund Manager is of the view that the same is in the best interest of the unit holders of the Mutual Fund. Exposure to equity derivatives for non-hedging purposes may be up to 50% of Equity assets as permitted under the SEBI (Mutual Funds) Regulations, 2026 from time to time.
- The Scheme shall have derivative exposure as per the SEBI (MF) Regulations issued from time to time. The Scheme can take covered call positions for stock derivatives, as permitted by SEBI. Further, the total exposure related to options premium paid will not exceed 20% of the net assets of the Scheme.
- **Covered Call:** The Scheme may purchase 'covered call' options as permitted under Para 13.15.1 of the Master Circular. The total notional value (taking into account strike price as well as premium value) of call options written by the

scheme shall not exceed 15% of the total market value of equity shares held in that scheme.

Debt securities include, but are not limited to, debt securities of the Government of India, State and Local Governments, Government Agencies, Statutory Bodies, Public Sector Undertakings, Public Sector Banks or Private Sector Banks or any other Banks, Financial Institutions, Development Financial Institutions, and Corporate Entities, collateralized debt securities or any other instruments as may be prevailing and permissible under the Regulations from time to time).

- The Scheme may enter into repos/reverse repos as may be permitted by RBI. A part of the net assets may be invested in the Tri-party repo on Government Securities or treasury bills or repo or in an alternative investment as may be provided by RBI, subject to prior approval from SEBI, if any.

Money market instruments include commercial papers, commercial bills, treasury bills, Government securities having an unexpired maturity up to one year, call or notice money, certificate of deposit, usance bills, and any other like instrument as specified by the Reserve Bank of India from time to time.

- Pursuant to para 13.8 of the Master Circular, the Scheme may participate in the corporate bond repo transactions. The gross exposure of the scheme to repo/reverse repo transactions in corporate debt securities (including listed AA and above rated corporate debt securities and commercial papers (CPs) and certificate of Deposits (CDs) shall not be more than 10% of the net assets of the Scheme.
- The Scheme may invest upto 35% of its AUM in Tri-Party Repo.
- **Stock Lending:** As per para 13.6 of the Master Circular, the Scheme may lend securities subject to a maximum of 20% in aggregate, of the net assets of the Scheme. Further, the Scheme shall not deploy more than 5% of the Scheme's net assets in securities lending through a single intermediary. These limits shall be applicable at the time of participating in the securities lending by the Scheme.

The Scheme may invest upto 5% of its net assets in units of other schemes of the Mutual Fund as and when launched or in units of schemes of any other Mutual Fund, provided the aggregate inter-scheme investment made by all the Schemes under the same management or in schemes under management of any other asset management company shall not exceed 5% of the NAV of the Mutual Fund. No investment management fees shall be charged for investing in other Schemes of the Fund or in the Schemes of any other mutual fund.

As per para 13.18.1 of the Master Circular, the Cumulative Gross Exposure through Equity and Equity related instruments, Debt, Gold and Silver instruments, Derivative positions (including fixed income derivatives), Foreign Securities, repo transactions, units issued by Infrastructure Investment Trusts (INVITs) and such other securities/assets as may be permitted by SEBI from time to time (subject to prior approval from SEBI, if any) will not exceed 100% of the net assets of the Scheme.

In line with Para 5.6 of the Master Circular securities in which investment is made for the purpose of ensuring liquidity (debt and money market instruments) are those that fall within the definition of liquid assets which includes Cash, Government

	Securities, T-bills and Repo on Government Securities and such other instruments as specified by SEBI in this regard. Pursuant to para 13.18.6(a) of the Master Circular, Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days: a) Government Securities; b) T-Bills; and c) Repo on Government securities and ii) subject to guidelines specified by SEBI, derivatives exposure due to hedging positions may not be included in the aforesaid limit. ** SEBI vide letter dated November 3, 2021, has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.* Investments in Overseas Securities • The Scheme may invest in Foreign Securities including ADR / GDR/ ETFs/mutual funds upto 35% of its total assets subject to investment restriction specified by SEBI/RBI from time to time. • The maximum amount that can be invested by the schemes of the Mutual Fund in Foreign Securities, calculated based on the cost of investments in Foreign Securities as per RBI Circular AP (DIR) Series Circular No. 3 dated July 26, 2006, read with Para 13.11 of the Master Circular, permits the Mutual Fund to invest in Foreign Securities i.e. US $ 1 billion per Mutual Fund, within the overall industry limit of US$ 7 billion. Further, investments in overseas Exchange Traded Fund (ETF(s)) is subject to a maximum of US $ 300 million per Mutual Fund, within the overall industry limit of US $ 1 billion. All the requirements as per Para 13.11 of the Master Circular would be adhered to by the AMC for investment in foreign securities. • Limits for a period of six months from the date of closure of NFO: The Scheme intends to invest up to US $ 100 million in foreign securities including overseas ETFs, subject to guidelines laid down by SEBI vide Para 13.11 of the Master Circular. Investment in Overseas Securities shall be made in accordance with the requirements stipulated by SEBI and RBI from time to time. The Scheme shall not invest/participate/engage in: • Commodity derivatives • Instruments with special features including Additional Tier I bonds and Tier 2 bonds issued under Basel III framework • Credit default swaps • Advancement of any loans • Fund of Fund Schemes Investments will be made in line with the asset allocation of the Scheme and the applicable SEBI and / or AMFI guidelines as specified from time to time. The margin may be placed in the form of such securities / instruments / deposits as may be permitted/eligible to be placed as margin from the assets of the Scheme. The securities / instruments / deposits so placed as margin shall be classified under the applicable category of assets for the purposes of asset allocation.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sl. no	Type of Instrument	Percentage of exposure	Circular references
1.	Equity Derivatives for non-hedging purposes	Upto 50% of the equity assets of the scheme	Para 13.15 of the Master Circular
2.	Securities Lending	• Not more than 20% of the net assets of a Scheme can generally be deployed in Stock Lending. • Not more than 5% of the net assets of a Scheme can generally be deployed in Stock Lending to any single approved intermediary/ counterparty.	Para 13.6 of the Master Circular
3.	Fixed Income Derivatives for hedging purposes	Upto 50% of the Debt assets of the scheme	Para 13.15 of the Master Circular
4.	Units issued by InVITS	Upto 10% of the net assets For investments in units of an InvIT issued by a single issuer – upto 5% of the net assets	Para 13.13 of the Master Circular
5.	Securitized Debt	Upto 20% of the Fixed Income Assets	Para 13.1 of the Master Circular
6.	Overseas Securities	35% of the net assets of the scheme, subject to regulatory limits. Further, it may please be noted that all the provisions as mentioned in Para 13.11 related to 'Overseas Investments' of the Master Circular shall be complied. The investments shall be made subject to approval of threshold limits received from SEBI/ RBI from time to time.	Para 13.11 of the Master Circular

7.	Instruments with special features (e.g. AT1 and AT2 Bonds)	0%	Para 13.1(9) of the Master Circular
8.	Investment in debt instruments having structured obligations/ credit enhancements	0%	Para 13.1(10) of the Master Circular
9.	Credit Default Swap	0%	Para 13.17 of the Master Circular
10.	Units of mutual fund schemes of the AMC or in the Scheme of other mutual funds	The Scheme may invest in units of schemes of AlphaGrep Mutual Fund and/or any other mutual fund subject to the overall limit of upto 5% of the net asset value of the Mutual Fund. Further, the Scheme shall not invest in units of Specialized Investment Funds (SIFs).	Clause 3 of Sixth Schedule of SEBI (MF) Regulations read with paragraph 13.14 of the Master Circular
11.	Short Selling	The Scheme may engage in short selling in accordance with the applicable SEBI guidelines / regulations.	Regulation 41(7) and 42(4) of the SEBI (MF) Regulations read with paragraph 13.6 of the Master Circular
12.	Repo transactions in corporate debt securities	Upto 10% of net assets of the scheme	Para 13.8 of the Master Circular
13.	Gold instruments as permitted by SEBI	Upto 5% of net assets of the scheme	-

Deployment of funds collected during NFO period

In accordance with paragraph 7.24 of the Master Circular, the AMC shall deploy the funds garnered in an NFO within 30 Business Days from the date of allotment of units.

In an exceptional case, if the AMC is not able to deploy the funds in 30 Business Days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of the AMC. Basis root cause analysis,

	the Investment Committee may extend the timeline by 30 Business Days, while also making recommendations on how to ensure deployment within 30 business days going forward and monitoring the same. The Trustee shall also monitor the deployment of funds collected in NFO and take steps, as may be required, to ensure that the funds are deployed within a reasonable timeframe. In case the funds are not deployed as per the asset allocation mentioned in the SID as per the aforesaid mandated plus extended timelines, AMC shall: i. not be permitted to receive fresh flows in the same scheme till the time the funds are deployed as per the asset allocation mentioned in the SID. ii. not be permitted to levy exit load, if any, on the investors exiting such scheme(s) after 60 Business Days of not complying with the asset allocation of the scheme. iii. inform all investors of the NFO, about the option of an exit from the concerned scheme without exit load, via email, SMS or other similar mode of communication. iv. report deviation, if any, to Trustees at each of the above stages. **Portfolio rebalancing of deviation due to short term defensive consideration:** As per Para 1.9.1(b) of the Master Circular, as may be amended from time to time, the Fund Manager(s) of the Mutual Fund believes that market or economic conditions are not favourable to unitholders of the Mutual Fund may change the investment pattern of the Scheme for a short term and for defensive considerations in line with para 1.9.1(b)of the Master Circular. In such conditions, investments may be made in assets such as cash, cash equivalents or other short term instruments such as money market instruments, purely as a temporary defensive strategy. The Fund Manager will rebalance the portfolio within 30 calendar days from the date of deviation. In case the portfolio is not re-balanced within 30 days, justification for the same shall be recorded in writing and will be placed before the Investment Committee of the AMC. The Investment Committee will then decide on further course of action, subject to requirements specified by SEBI in this regard. **Portfolio rebalancing in case of passive breach:** As per Para 3.11 of the Master Circular, as may be amended from time to time, in the event of deviation from mandated asset allocation as specified in the Indicative Table above, due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the Fund Manager shall rebalance the portfolio of the Scheme within 30 Business Days. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of completion of mandated rebalancing period. Further, in case the

	portfolio is not rebalanced within the aforementioned mandated plus extended timelines, the AMC shall: i) not be permitted to launch any new scheme till the time the portfolio is rebalanced. ii) not levy exit load, if any, on the exiting investors. Further, in case the AUM of deviated portfolio is more than 10% of the AUM of main portfolio of the Scheme, • The AMC shall immediately communicate the same to the investors of the Scheme after the expiry of the mandated rebalancing period (i.e. 30 business days) through SMS and email/ letter including details of portfolio not rebalanced. • The AMC shall also immediately communicate to the investors through SMS and email/letter when the portfolio is rebalanced. • The AMC shall disclose scheme wise deviation of the portfolio (beyond aforesaid 10% limit) from the mandated asset allocation beyond 30 Business Days, on the website i.e. www.alphagrepmf.ai • The AMC shall also disclose any deviation from the mandated asset allocation to investors along with periodic portfolio disclosures as specified by SEBI from the date of lapse of mandated plus extended rebalancing timelines. The above norms shall be applicable to main portfolio and not to segregated portfolio(s). However, at all times the portfolio will adhere to the overall investment objectives of the Scheme. Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of Scheduled Commercial Banks, subject to the guidelines mentioned by SEBI from time to time.
Investment Strategy	The investment objective of the Scheme is to generate long term capital appreciation by investing in equity and equity related instruments across market capitalizations. The Scheme shall follow an active investment strategy which adopts a rule-based approach to stock selection and portfolio construction. The investment strategy is founded on a rule-based, data-driven framework that employs mathematical models, structured data, and systematic rules to guide portfolio decisions. Every investment action is driven by measurable inputs, ensuring that decisions are objective, consistent, and replicable. By minimizing subjective judgment and emotional influence, the process aims to remove behavioral biases that often impact discretionary investing. The framework prioritizes discipline and consistency across different market environments, while retaining flexibility for informed human intervention. A core principle of the strategy is discipline over emotion. Investment decisions are executed according to predefined rules rather than instincts or short-term market sentiment. This structured approach reduces the impact of cognitive biases and emotional reactions, enabling the investment process to remain stable even during periods of market volatility. The rule-based structure ensures transparency, repeatability, and consistent application of the strategy across time and market regimes.

The strategy is designed to effectively handle large and complex datasets. Advanced analytical processes enable the integration of diverse information sources, allowing investment decisions to be informed by historical precedents and multiple types of variables simultaneously. Scalable infrastructure allows new ideas and signals to be tested efficiently across different markets and asset universes. This capability enhances the speed of research, improves model validation, and supports continuous refinement of the investment framework.

The research process is structured around a comprehensive alpha research framework. Traditional fundamental and factor-based signals—including valuation, growth, profitability, and momentum—form the foundation of the model architecture. These classical indicators are complemented by advanced techniques that identify non-linear patterns within financial markets. Evolutionary algorithms and other computational approaches are used to uncover complex, multi-dimensional relationships that may not be captured by linear models.

Through the integration of systematic processes, advanced analytics, and comprehensive risk management, the investment framework seeks to generate sustainable, risk-adjusted returns in a disciplined and transparent manner.

Derivatives Strategy

The Scheme may utilize derivative instruments for purposes including hedging, portfolio rebalancing, and efficient exposure management, in line with regulatory provisions. Derivatives may also be used to implement systematic strategies where appropriate.

Given the leveraged nature of derivative instruments, their use involves inherent risks, including the possibility of amplified losses. The effectiveness of such strategies depends on the accuracy of model signals and execution. While derivatives can enhance portfolio efficiency and risk management, there is no assurance that such strategies will achieve the intended outcomes.

For detailed derivative strategies, please refer to SAI.

Risk Control

Risk management is embedded within the strategy rather than applied as an external overlay. Portfolio construction and signal selection incorporate systematic risk controls to ensure that exposures remain aligned with the desired investment profile. The process focuses on generating strong risk-adjusted returns while maintaining robust diversification across securities and factors. Built-in risk monitoring mechanisms enable the strategy to adapt to changing market conditions and mitigate potential drawdowns through disciplined exposure management.

Portfolio Turnover

Portfolio turnover is an outcome of the systematic investment process and may vary depending on signal changes, market conditions, and investor flows. As an open-ended scheme, subscriptions and redemptions may contribute to trading activity.

The investment framework seeks to balance responsiveness to new information with transaction cost efficiency. Trading decisions are optimized to ensure that turnover contributes positively to overall portfolio performance after accounting for associated costs.

Risk Profile of the Scheme	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: 1) Risks associated with investing in Equity and Equity Related Instruments 2) Risks associated with investing in fixed income 3) Risks associated with investing in foreign securities 4) Risks associated with investing in securitized debt 5) Risks associated with investing in derivatives 6) Risks associated with segregated portfolio 7) Risks associated with Securities Lending & Borrowing (SLB) 8) Risks associated with Repo Transactions in Corporate Debt Securities 9) Risk Factors associated with Investments in InvITs 10) Risk associated with Interest Rate Future (IRF) 11) Risks associated with investing in Tri-party Repo (TREPS) through CCIL 12) Performance Risk 13) Risks associated with 'Right to limit redemptions' 14) Risks Factors associated with transaction in Units through stock exchange(s) 15) Risks associated with Covered Call Strategy 16) Risks associated with investment in units of mutual fund 17) Risk associated with potential change in Tax structure 18) Risk factors associated with investing in Gold/Silver related instrument(s), Gold ETFs, Silver ETFs 19) Geopolitical and War Risk For details on risk factors and risk mitigation measures, please refer SID.
Plans/ Options	**Plans:** i. Regular Plan ii. Direct Plan **Options Under Each Plan(s):** i. Growth ii. Income Distribution cum Capital Withdrawal (IDCW). The IDCW will be declared subject to availability and adequacy of distributable surplus. The IDCW can be distributed out of investors capital (equalization reserve), which is part of sale price that represents realized gains. **Sub-options under IDCW:** i. IDCW Payout ii. IDCW re-investment. In case of valid application received without indicating any choice of Option, the following Default Option will be considered for allotment: i. Growth Option - where Growth or IDCW Option is not indicated; ii. Reinvestment of IDCW option - where Payout or Reinvestment is not indicated under IDCW Option. The Plans and Options stated above will have a common portfolio, but separate NAVs, as applicable, shall be applied among Plans and Options.

	Investors should indicate the Plan viz. Regular/Direct for which the subscription is made by indicating the choice in the appropriate box provided for this purpose in the application form. For detailed disclosure on default plans and options, kindly refer **SAI.**
Applicable NAV (after the scheme opens for subscriptions and redemptions)	The below cut-off timings and applicability of NAV shall be applicable in respect of valid applications received at the Official Point(s) of Acceptance on a Business Day: **For Purchase of any amount**: In respect of valid applications received upto 3.00 p.m. and where the funds for the entire amount are available for utilization before the cut-off time i.e. credited to the bank account of the Scheme before the cut-off time - the closing NAV of the day shall be applicable .In respect of valid applications received after 3.00 p.m. and where the funds for the entire amount are credited to the bank account of the Scheme either on the same day or before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable. Irrespective of the time of receipt of application, where the funds for the entire amount are available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable. **For Switch-ins of any amount**: In case of switch from one scheme to another scheme received before cut-off i.e. upto 3 p.m. having business day for both the schemes, closing NAV of the Business Day shall be applicable for switch-out scheme and for Switch-in scheme, the closing NAV of the Business Day shall be applicable, on which funds are available for utilization in the switch-in scheme (allocation shall be in line with the redemption payout). **For Redemptions including Switch - outs**: In respect of valid applications received up to 3.00 p.m. – same day's closing NAV shall be applicable. In respect of valid applications received after 3.00 p.m. - the closing NAV of the next Business Day shall be applicable. With respect to investors who transact through the stock exchange, a confirmation slip given by the stock exchange mechanism shall be considered for the purpose of determining Applicable NAV for the Scheme and cut off timing for the transactions. To clarify, for investments through systematic investment routes such as Systematic Investment Plans (SIP), Systematic Transfer Plans (STP), etc. the units will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the instalment date of the SIP, STP or record date of dividend etc.

<table>
<tr><td>Minimum Application Amount/ Number of Units</td><td>Purchase
Rs. 500/- and in multiples of Re. 1/- thereafter</td><td>Additional Purchase
Rs 500/- and in multiples of Re. 1/- thereafter.</td><td>Redemption
Rs. 500/- and in multiples of Re 0.01/- or account balance, whichever is lower.</td></tr>
<tr><td>Dispatch of Redemption Request</td><td colspan="3">The Mutual Fund shall dispatch Redemption proceeds within 3 working days of receiving the valid Redemption request.</td></tr>
<tr><td>Benchmark Index</td><td colspan="3">Nifty 500 Total Return Index (TRI)</td></tr>
<tr><td>Dividend Policy (IDCW)</td><td colspan="3">The Dividend warrants/cheque/demand draft shall be dispatched to the Unit holders within seven working days from the Record Date. In the event of failure of dispatch of IDCW within the stipulated 07 working Day period, the AMC shall be liable to pay interest @ 15 percent per annum to the Unit holders.

The IDCW proceeds will be paid directly into the Unitholder’s bank account through various electronic payout modes such as Direct credit / NEFT / RTGS / ECS / NECS etc. unless the Unitholder has opted to receive the proceeds through Warrant / Cheque / Demand Draft.

In case of specific request for Dividend by warrants/cheques/demand drafts or unavailability of sufficient details with the Mutual Fund, the Dividend will be paid by warrant/cheques/demand drafts and payments will be made in favour of the Unit holder (registered holder of the Unit or, if there are more than one registered holder, only to the first registered holder) with bank account number furnished to the Mutual Fund.</td></tr>
<tr><td>Name of the Fund Manager including the tenure for which the fund manager has been managing the scheme</td><td colspan="3">Mr. Ravneet Singh</td></tr>
<tr><td>Name of the Trustee Company</td><td colspan="3">AlphaGrep Mutual Fund Trustee Private Limited</td></tr>
<tr><td>Performance of the scheme</td><td colspan="3">Not applicable since this scheme is a new scheme being launched by the Mutual Fund and does not have any performance track record.</td></tr>
<tr><td>Additional Scheme Related Disclosures</td><td colspan="3">i. Scheme’s portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors) - Not applicable as it is a new scheme. However appropriate disclosure in this respect will be available at www.alphagrepmf.ai
ii. Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/ index funds – Not applicable
iii. Portfolio Turnover Ratio – Not applicable as it is a new scheme.</td></tr>
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Expenses of the Scheme	**New Fund Offer Period-** NFO opens on: July 21, 2026 NFO closes on: August 04, 2026 These expenses are incurred for the purpose of various activities related to the NFO such as sales, communication, statutory expenses, and distribution fees paid, marketing and advertising, registrar expenses, printing and stationery, bank charges etc. As required under Regulation 66(3) of the SEBI (MF) Regulations, all NFO expenses will be borne only by the AMC and not by the Scheme. Accordingly, the NFO expenses would be incurred from AMC books and not from Scheme's books.
Load Structure	**Exit Load:** If units are redeemed or switched out within 15 days from the date of allotment – 1% of the applicable NAV If units are redeemed or switched out after 15 days from the date of allotment – Nil No Exit Load shall be levied in case of switch transactions from Regular Plan to Direct Plan and vice versa. For further details, please refer SID.
Recurring expenses	These are the fees and expenses for operating the Scheme. These expenses include the investment management and advisory fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below: Considering the asset allocation pattern of the scheme, AMC has estimated maximum Base expense ratio (BER) of 2.10 % for Regular Plan, on the daily average net assets of the Scheme, will be charged to the Scheme as expenses, as given below. For actual current expenses being charged, the investor should refer to the website of the mutual fund. **Direct Plan** under the Scheme shall have a lower expense ratio than Regular Plan, excluding distribution expenses, commission, etc., and no commission shall be paid from Direct Plan. All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a Regular Plan. Limits specified under SEBI (MF) Regulations for BER is as follows: a. on the first Rs. 500 crore of the Scheme's daily net assets - upto 2.10%; b. on the next Rs. 250 crore of the Scheme's daily net assets – upto 1.90%; c. on the next Rs. 1,250 crore of the Scheme's daily net assets – upto 1.60%; d. on the next Rs. 3,000 crore of the Scheme's daily net assets – upto 1.50%; e. on the next Rs. 5,000 crore of the Scheme's daily net assets – upto 1.40%; f. on the next Rs. 40,000 crore of the Scheme's daily net assets - Expense Ratio reduction of 0.05% for every increase of Rs. 5,000 crores of daily net assets or part thereof; g. on balance of the assets – upto 0.95 %

Provided that the mutual fund scheme that offers to charge base expense ratio based on the performance of the scheme shall comply with the expense ratio structure and disclosures thereto as specified by SEBI from time to time.

Expense Head	% of daily Net Assets
Investment Management & Advisory Fee	Up to 2.10%
Audit fees/fees and expenses of trustees	
Custodial Fees	
Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants	
Marketing & Selling Expenses including fees, commission and charges towards distribution of schemes	
Costs related to investor communications	
Costs of fund transfer from location to location	
Cost towards investor education, awareness and financial inclusion[&]	
Brokerage & transaction cost incurred towards execution of trades	
Cost of statutory advertisements	
Other Expenses as may be specified or approved by SEBI	
Maximum base expenses ratio (BER) permissible under Regulation 66(7)	Up to 2.10%
Statutory levies (including GST) on all expenses excluding brokerage and transaction cost	As applicable (including GST of 18%)
Statutory levies (including GST) on brokerage and transaction cost	As applicable (including GST of 18%)
Total expense ratio	Up to 2.10% + statutory levies (as applicable)

[&]In terms of para 11.9 of the Master Circular, AMCs shall annually set apart 0.02% of the daily net assets of the Scheme for investor education, awareness and financial inclusion initiatives.

Additional expenses under Regulation 66:

1. Brokerage costs incurred for the execution of trades, may be charged by the mutual fund scheme, over and above the base expense ratio subject to a maximum of 0.06% of the trade value in case of cash market transactions and 0.02% of trade value in case of derivative transactions. Expenses charged towards brokerage, over and above the specified limit, shall be a part of

	the base expense ratio limit specified in Regulation 66(7) of the SEBI (MF) Regulations. 2. Transaction cost incurred for the purpose of execution of a trade shall mean regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable. Such transaction costs shall not form part of the base expense ratio. 3. The total expenses charged to the Scheme shall not exceed the limits stated in Regulation 66 of the SEBI (Mutual Funds) Regulations, 2026 and as permitted under SEBI circulars issued from time to time. 4. Further, in accordance with paragraph 11.6 of the Master Circular, the AMC shall be authorized and permitted to provide additional incentives to empanelled distributors for onboarding new individual investors (new PAN) from B-30 cities at the Mutual Fund level and new women individual investors (new PAN) from both top 30 and B-30 cities. In this regard, the additional commission required to be paid to concerned distributors by the AMC, shall be as follows: **Investment mode** — **Commission Structure** Lump sum investment — 1% of the amount of the first application, subject to maximum of INR 2,000, provided that the investor remains invested for a minimum period of 1 (one) year. Systematic Investment Plan (SIP) — 1% of the total investment made during the first year, subject to maximum of INR 2,000. The aforementioned additional distribution commission shall be paid out of the 2 bps on daily net assets mandated to be set apart annually by the AMC for investor education, awareness and financial inclusion initiatives subject to adequate clawback provisions and the additional distribution shall be in addition to the trail commission to be paid to the distributors from the concerned scheme. The following schemes shall be excluded from the applicability of the additional commission eligibility for distributors: (a) Exchange Traded Funds; (b) Fund of Funds (domestic) with more than 80% of AUM invested in domestic funds; (c) schemes having duration requirements of less than 1 (one) year- overnight funds, liquid funds, ultra short duration funds and low duration funds. 5. The Mutual Fund would update the current expense ratios on the website www.alphagrepmf.ai at least 3 (three) working days prior to the effective date of the change and update the BER under the tab 'BER' on the website.

	6. The AMC shall prominently disclose the TER of all schemes under a separate head titled **"Total Expense Ratio"** on its website. The TER shall also be disclosed on the website of the Association of Mutual Funds in India. Any change in the BER shall be updated on the Mutual Fund's website and AMFI's website at least **three (3) working days prior to the effective date of such change**. The current TER of the Scheme, along with a downloadable spreadsheet detailing the expense structure, is available on the Mutual Fund's website at www.alphagrepmf.ai under the "TER" section and on AMFI's website at www.amfiindia.com

Impact of TER on returns of both Direct plan and Regular plan through an illustration may be provided

Expense ratio, normally expressed as a percentage of Average Assets under Management, is calculated by dividing the permissible expenses under the SEBI (Mutual Funds) Regulations, 2026. by the average net assets. To further illustrate the above in rupees terms, for the Scheme under reference, suppose an Investor invested Rs. 10,000/- (after deduction of stamp duty and transaction charges, if any) the impact of expenses charged will be as under:

Particulars	**Direct Plan**	**Regular Plan**
Amount invested at the beginning of the year (Rs,)	10,000	10,000
Returns before Expenses (Rs.)	1,500	1,500
Expenses other than Distribution Expenses (Rs.)	50	50
Distribution Expenses (Rs.)	-	100
Returns after Expenses at the end of the year (Rs.)	1450	1350
Returns (%)	14.50%	13.50%

Note(s):

- The purpose of the above illustration is purely to explain the impact of expense ratio charged under the Scheme and should not be construed as providing any kind of investment advice or guarantee of returns on investments.
- It is assumed that the expenses charged are evenly distributed throughout the year.
- The expenses of the Direct Plan under the Scheme will be lower to the extent of the distribution expenses/commission.
- Any tax impact has not been considered in the above example, in view of the individual nature of the tax implications. Each investor is advised to seek appropriate advice.

For the actual current expenses being charged to the scheme, investors should refer to the website of the mutual fund at link: www.alphagrepmf.ai. Any change proposed to the current expense ratio will be updated on the website at least 3 (three) working days prior to the change.

<table>
<tr><td></td><td colspan="2">As per the SEBI (Mutual Funds) Regulations, 2026, the total recurring expenses that can be charged to the Scheme shall be subject to the applicable guidelines. The total recurring expenses of the scheme will however be limited to the ceilings as prescribed under Regulation 66(7) of the Regulations.

Actual expenses for the previous financial year: Not Applicable in case of a new scheme.</td></tr>
<tr><td>Tax treatment for the Investors (Unitholders)</td><td colspan="2">Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his/her tax advisor.</td></tr>
<tr><td>Daily Net Asset Value (NAV) Publication</td><td colspan="2">The AMC will calculate and disclose the first NAV of the scheme within a period of 5 (five) Business Days from the date of allotment. Subsequently, the AMC will calculate and disclose the NAVs on all Business Days except under special circumstances.

The AMC shall update the NAVs on its website www.alphagrepmf.ai and of the Association of Mutual Funds in India (“AMFI”) www.amfiindia.com by 11.00 P.M. on every Business Day.</td></tr>
<tr><td>For Investor Grievances please contact</td><td><u>Name and Address of Registrar:</u>
Computer Age Management Services Limited (CAMS)
Tel: 044 - 28432650

Website: www.camsonline.com
Address: CAMS, Rayala Tower-1, 158 Anna Salai, Chennai - 600002</td><td><u>Customer Service Cell of Mutual Fund:</u>
Ms. Vasudha Shah,
Investor Relations Officer,
AlphaGrep Mutual Fund.
Address:
Unit No. 1408, 14th Floor, Parinee Crescenzo, G Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India.
Toll Free: 1800-569-8900
Email: clientservices@alphagrepmf.ai</td></tr>
<tr><td>Unitholders’ Information</td><td>Disclosures: Portfolio/Financial Results

This is a list of securities where
the corpus of the Scheme is currently invested. The market value of these investments is also stated in portfolio disclosures.</td><td>Portfolio Disclosure:

Portfolio shall be disclosed as on last day of the month/half year within 10 calendar days from the end of month/half year. Portfolio shall be disclosed on MF website at link: www.alphagrepmf.ai and on AMFI website www.amfiindia.com Portfolio shall be disclosed in a user-friendly and downloadable spreadsheet format. Portfolio shall also be sent by e-mail to all unitholders by the AMC/Mutual Fund and the AMC shall declare on its website, the hosting of the statements of its portfolio on its website and that of AMFI. A Unit Holder can submit a request for a physical or electronic copy of the statement of the Scheme portfolio and the AMC shall provide a physical copy without charging any cost, on specific request received from a Unit Holder.

Half Yearly unaudited financial results to unitholders:</td></tr>
</table>

		The AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on its website: www.alphagrepmf.ai and on the website of AMFI. The AMC shall also display the link prominently on its website and make the physical copies available to Unit Holders at its registered office, at all times.
	Annual Report	The AMC shall send the digital copy of the scheme-wise Annual Report or an abridged summary thereof to all unitholders within four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant financial year (i.e. 31st March each year). Scheme wise annual report shall also be displayed on the website of the Mutual Fund: www.alphagrepmf.ai and Association of Mutual Funds in India www.amfiindia.com. A functional link of the scheme annual report or abridged summary shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI) www.amfiindia.com. The Annual Report and its abridged scheme wise summary shall contain the details as specified in the Ninth Schedule and such other information necessary to present a true and fair view of the operations of the Mutual Fund.
	Scheme Summary Document (SSD)	In accordance with SEBI letter dated December 28, 2021, Scheme summary document in the requisite format (pdf, spreadsheet and machine readable format – JSON or XML) shall be uploaded on a monthly basis i.e. 15th of every month or within 5 Business days from the date of any change or modification in the scheme information on the website of the Mutual Fund i.e. www.alphagrepmf.ai and AMFI i.e. www.amfiindia.com and Registered Stock Exchanges i.e. National Stock Exchange of India Limited and BSE Limited.
	Risk-o-meter	In accordance with Paragraph 6.16 of the Master Circular, the Risk-o-meter shall have following six levels of risk: i. Low Risk ii. Low to Moderate Risk iii. Moderate Risk iv. Moderately High Risk v. High Risk and vi. Very High Risk

			Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter along with portfolio disclosure shall be disclosed on the Mutual Fund website as well as AMFI website within 10 days from the close of each month. Further, the AMC shall disclose the following in all disclosures, including promotional material or that stipulated by SEBI: I. Risk-o-meter of the scheme wherever the performance of the scheme is disclosed. II. Risk-o-meter of the scheme and benchmark wherever the performance of the scheme vis-à-vis that of the benchmark is disclosed. Investors may refer to the website/portfolio disclosure for the latest Risk-o-meter of the Scheme.
		Accounts Statements	The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/ transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form). A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month. Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable.

ALPHAGREP FLEXI CAP FUND
New Fund Offer Application Form

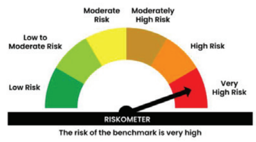
AlphaGrep MUTUAL FUND

Scheme code: AGMF/O/E /FCF/26/05/0002

Offer for Units of Rs. 10/- each for cash during the New Fund Offer and Continuous Offer for Units at NAV based prices.

NFO Start Date: 21st July 2026 | **NFO Close Date:** 4th August 2026 | **Scheme re-opens on or before:** 18th August 2026

Application No.

SCHEME NAME & TYPE OF THE SCHEME	This product is suitable for investors who are seeking*:	Scheme Risk-o-meter	Benchmark Riskometer Nifty 500 Total Return Index (TRI) (As per AMFI Tier I Benchmark)
ALPHAGREP FLEXI CAP FUND (An open-ended dynamic equity scheme investing across large cap, mid cap, small cap stocks)	• Long-Term Capital Appreciation • Investment in equity and equity related instruments of companies across market capitalization		

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.
The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when actual investments are made.

Please read the instructions and refer to SID, KIM and Addendums issued for the respective schemes and SAI of AlphaGrep Mutual Fund.

1. DISTRIBUTOR INFORMATION*
(Please refer instruction no. 1)

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp & Reference No.

☐ **By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transaction in the scheme (s) of AlphaGrep Mutual Fund. (Please if ✓applicable) *In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

Go Green Initiative (Refer instruction no.11) ☐ *Opt-in – Physical *(Default Opt-out)

2. MODE OF HOLDING
(Please refer instruction no. 2)

(In case of Demat Purchase, Mode of Holding should be same as in Demat Account) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

3. APPLICANT(S) NAME AND INFORMATION (If the 1st / Sole Applicant is Minor, then please provide details of natural / legal guardian)
(Please refer instruction no. 3 & 4)

Folio No. (Kindly fill only section 9, 10, 13 and 14) Gender ☐ Male ☐ Female ☐ Others

Name of Sole / 1st Applicant (Name as per IT Records) Mr/ Ms. / M/s. First Middle Last

PAN/PEKRN (Mandatory) CKYC No. Date of Birth / Date of Incorporation (Mandatory) D D M M Y Y Y Y

Date of Birth Proof for minors (If any one please fill guardian details)

☐ Birth Certificate ☐ Marks Sheet (HSC/ICSE/CBSE) ☐ School Leaving Certificate ☐ Passport ☐ Others ______ (Please Specify)

Mobile No. Email ID

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Others ______ (Please Specify)

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Others ______ (Please Specify)

The default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: (please ✓ here) ☐ Account Statement ☐ Annual Report/Abridged summary ☐ Other Statutory Information
(We would recommend you to choose an online mode to help us save paper & contribute towards a greener & cleaner environment.)

LEI Code Valid upto D D M M Y Y Y Y (Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for Non-Individual investors. Refer Instruction no. 4a)

Tax Status (Mandatory, Please✓)

☐ Resident Individual ☐ NRI ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ Bank
☐ Minor through guardian ☐ Company ☐ FIIs ☐ PIO ☐ Body Corporate ☐ Society/Club ☐ Sole Proprietorship
☐ Non Profit Organisation* ☐ Financial Institution ☐ NBFC ☐ Others ______ (Please Specify)

*Non Profit Orgnization [NPO]

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).
If yes, please quote the Registration No. provided by DARPAN portal of NITI Aayog:

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration w ith the portal as mandated, wherever applicable will reject the application. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

4.GUARDIAN DETAILS (In case First / Sole Applicant is minor) / CONTACT PERSON- DESIGNATION / POA HOLDER (In case of Non- Individual Investors) [Name and DOB shall be as per IT Records]

Name as per IT Records First Middle Last

PAN (Mandatory) CKYC No. Gender ☐ Male ☐ Female ☐ Others

Mobile No. Email ID

Designation/Relationship with Minor Date of Birth/Date of Incorporation (Mandatory) D D M M Y Y Y Y

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

AlphaGrep Investment Management Private Limited
Unit No. 12 A-05, 13th Floor, Parinee Crescenzo G Block, Bandra Kurla Complex, Bandra (East) Mumbai- 400051, Maharashtra, India.

Received from Mr. / Ms. ______ Date:____/_____/________

Application No.

Collection Centre / AMC Stamp & Signature

5a. MAILING ADDRESS (Address as per KYC)

Local Address of 1st Applicant ______________________________

____

______________ City ______________ Dist. ______________

State ______________ Pin Code ______________ Telephone ______________

5b. OVERSEAS CORRESPONDENCE ADDRESS (Mandatory for NRI/ FII Applicant)

[Please provide Full Address P.O. Box address is not sufficient] ______________________________

____

______________ Zip Code: ______________ Telephone ______________ Mobile ______________

6a. SECOND APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per Income Tax Records]

Name as per IT Records First Middle Last

PAN (Mandatory) ☐☐☐☐☐☐☐☐☐☐ CKYC No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Gender ☐ Male ☐ Female ☐ Others

Mobile No. ☐☐☐☐☐☐☐☐☐☐ Email ID ______________ Date of Birth (Mandatory) D D M M Y Y Y Y

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

☐ Others ______________ (Please Specify)

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

☐ Others ______________ (Please Specify)

Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI

6b. THIRD APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per Income Tax Records]

Name as per IT Records First Middle Last

PAN (Mandatory) ☐☐☐☐☐☐☐☐☐☐ CKYC No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Gender ☐ Male ☐ Female ☐ Others

Mobile No. ☐☐☐☐☐☐☐☐☐☐ Email ID ______________ Date of Birth (Mandatory) D D M M Y Y Y Y

The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

☐ Others ______________ (Please Specify)

The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

☐ Others ______________ (Please Specify)

Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI

7a. KYC Details (Mandatory Occupation)

(Please refer instruction no. 4e)

First Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Servic e ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others ______________ (please specify)

Second Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Servic e ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others ______________ (please specify)

Third Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others ______________ (please specify)

7b. Gross Annual Income

First Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
OR Net worth (for Non-Individuals) ₹ (please specify) ______________ as on D D M M Y Y Y Y (Not older than 1 year)

Second Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
OR Net worth (for Non-Individuals) ₹ (please specify) ______________ as on D D M M Y Y Y Y (Not older than 1 year)

Third Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
OR Net worth (for Non-Individuals) ₹ (please specify) ______________ as on D D M M Y Y Y Y (Not older than 1 year)

7c. For Individuals (Politically Exposed Person)

(Please refer instruction no. 4d)

First Applicant: ☐ I am Politically Exposed Person (PEP) ☐ I am Related to Politically Exposed Person (RPEP) ☐ Not applicable

Second Applicant: ☐ I am Politically Exposed Person (PEP) ☐ I am Related to Politically Exposed Person (RPEP) ☐ Not applicable

Third Applicant: ☐ I am Politically Exposed Person (PEP) ☐ I am Related to Politically Exposed Person (RPEP) ☐ Not applicable

7d. For Non Individuals, if involved in any of the below mentioned services, please ✓ the appropriate option :

(i) Foreign Exchange / Money Changer Service ☐ Yes ☐ No (ii) Gaming / Gambling / Lottery / Casino Services ☐ Yes ☐ No (iii) Money Lending / Pawning ☐ Yes ☐ No

SCHEME NAME /PLAN	OPTION	PAYMENT DETAILS			
		Amount (₹)	Instrument No	Date	Drawn on Bank (Bank and Branch)
ALPHAGREP FLEXI CAP FUND ☐ Regular ☐ Direct	☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment	(Please specify)			

8. BANK ACCOUNT DETAILS FOR PAYOUT (Please attach copy of cancelled cheque) (Please refer instruction no. 5)

Name of the Bank

Account No. Account Type ☐ Saving ☐ Current ☐ NRE ☐ NRO ☐ Others ____________

Bank Branch ______________________ Address ______________________

______________________ Bank City ______________________ State ______________________ Pincode ____________

MICR Code (9 digits) $IFSC Code for NEFT / RTGS

$This is an 11 Digit Number, kindly obtain it from your cheque copy or Bank Branch.

9. INVESTMENT & PAYMENT DETAILS* The name of the first/ sole applicant must be pre-printed on the cheque (Please Refer instruction no. 6)

Name of the Scheme	Plan Please (✓)	Option & Sub-Option Please (✓)	Investment Amount (₹)
ALPHAGREP FLEXI CAP FUND	☐ Regular ☐ Direct	☐ Growth (Default) ☐ IDCW Payout ☐ IDCW Re-investment (Default for IDCW)	(Please specify)

Cheque to be drawn in favour of Scheme Name. For e.g. **'Alphagrep Flexi Cap Fund'** and the cheque amount should match with Investment amount mentioned here.

Payment Type (Please ✓)	☐ **Non-Third Party** ☐ **Third Party Payment** (Pls fill third party declaration form)	
Payment Details	☐ **Lumpsum**	☐ **SIP*** ☐ **SIP Top Up***
Amount (₹)	Minimum of Rs. 500 and multiples of Re. 1 thereafter	Minimum of Rs. 500 and multiples of Re. 1 thereafter
Mode of Payment (Please ✓) ☐ **Cheque** ☐ **NEFT/RTGS**	Cheque / UTR No.	
Drawn on Bank & Date	Bank Name & Date	Bank Name & Date

*If you wish to register SIP / SIP Top Up, kindly fill the relevant SIP registration & OTM Debit Mandate Form. Cheque/DD should be drawn in favour of scheme name e.g. "Alphagrep Flexi Cap Fund" *If you wish to register SIP, kindly fill the relevant SIP Registration & OTM Debit Mandate Form

10. UNIT HOLDING OPTION ☐ PHYSICAL MODE (Default) ☐ DEMAT MODE* (Please refer instruction no. 7)

Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode. Please ensure that the sequence of names as given in the order of the applicants matches as per the Depository Details. In case of any ambiguity or validation failure with the depository details, AMC will allot units in the Physical Mode.

National Securities Depository Limited		Central Depository Services (India) Limited
DP Name		DP Name
DP ID	IN	Beneficiary A/c No.	Beneficiary A/c No.

Enclosures - Please (✓) ☐ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

11. FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) [Mandatory] (Please Refer instruction no. 8)

➔ Non-Individual investors will require to fill separate FATCA & Ultimate Beneficial Ownership (UBO) Form. Entities registered as Non-Profit Organizations (NPO's) / Trust / Societies, etc will also be required to fill a separate NPO form available on our website https://www.alphagrepmf.ai (Refer instructions of FATCA, UBO & NPO Form)

The below information is required for all applicants/guardian

Particulars	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			☐ Indian ☐ U.S. ☐ Others (Please specify)____________
Second Applicant			☐ Indian ☐ U.S. ☐ Others (Please specify)____________
Third Applicant			☐ Indian ☐ U.S. ☐ Others (Please specify)____________

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ☐ Yes ☐ No **[Please tick (✓)]**

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident/ Green Card Holder/Tax Resident in the respective countries.

Particulars	Country of Tax Residency	Tax Identification Number or Functional Equivalent (Mandatory)	Identification Type (TIN or other please specify)	If TIN is not available please tick (✓) the reason A, B or C (as defined below)
First Applicant / Guardian				Reason : A ☐ B ☐ C ☐
Second Applicant				Reason : A ☐ B ☐ C ☐
Third Applicant				Reason : A ☐ B ☐ C ☐

❑ Reason A ⇨ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

❑ Reason B ⇨ No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected)

❑ Reason C ⇨ Others, please state the reason thereof: ______________________

*Address Type of Sole/1st Holder:	*Address Type of 2nd Holder:	*Address Type of 3rd Holder:
☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business	☐ Residential ☐ Registered Office ☐ Business

***If the address type is not ticked the default will be considered as residential.**

11. NOMINATION - Nomination shall be optional for jointly held Mutual Fund folios. Details for Nominee OPT-IN/Declaration of Nominee OPT-OUT to be mandatorily filled in for processing the application as per the below selected Option A or B (Refer instruction V)

☐ A) Nomination OPT- IN- I/we wish to Nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account in the event of my / our death. This nomination shall supersede any prior nomination made by us/me if any

Mandatory Information							Non-mandatory Information
Name of Nominee(s) (IN CAPITALS) (Mandatory)		Date of Birth (for Minor)	Relationship with the Applicant (select one)	Nominee Guardian (in case of Minor) Identification details *[Please tick any one of the following and provide ID Number and no copies required]*	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country	Mobile & Email of nominee(s)/ Guardian in case of Minor (Mandatory)	Nominee Guardian Name (in case Nominee of Minor)
Mr/Ms	%		☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Other	☐ PAN ☐ Aadhar (masked last 4 digits)******* ☐ Passport *(for NRIs OCIs/PIOs)* ☐ Driving Lincense	Pin Code:		
Mr/Ms	%		☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Other	☐ PAN ☐ Aadhar (masked last 4 digits)******* ☐ Passport *(for NRIs OCIs/PIOs)* ☐ Driving Lincense	Pin Code:		
Mr/Ms	%		☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Other	☐ PAN ☐ Aadhar (masked last 4 digits)******* ☐ Passport *(for NRIs OCIs/PIOs)* ☐ Driving Lincense	Pin Code:		

Nomination can be made upto three nominees in the account in case the above percentages do not total upto 100%. #Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) with % (Default) ☐ Nomination: Yes / No (Only status of nomination to be displayed)

☐ **B) Nomination OPT-OUT -** (Signature Mandatory, in case investor(s) deside not to nominate)

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the implication / issues involved in non-appointment of any nominee(s) and am / are further aware that in case of my demise / death of all the unit holders in the folio, my / our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund / AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio/s.

Points to remember

Please ensure that:

1. Your Application Form is complete in all respects & signed by all applicants.
2. Name, Address and Contact Details are mentioned in full. Email id & Mobile number should be provided along with the declaration whether it belongs to Self or a Family member.
3. Bank Account Details are entered completely and correctly. IFSC Code & 9 digit MICR Code of your Bank is mentioned in the Application Form.
4. Permanent Account Number (PAN) Mandatory for all Investors (including guardians, joint holders, NRIs and POA holders) irrespective of the investment amount.
5. Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 4(e) for more information)
6. Your Investment Cheque / DD is drawn in favour of < Scheme Name > dated and signed. For e.g "AlphaGrep Flexi Cap Fund"
7. Application Number is mentioned on the reverse of the cheque.
8. A cancelled cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.
9. Documents as listed are submitted along with the Application form (as applicable to your specific case).

Documents	Individuals	NRIs/ PIO/ OCI	Minors	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms / LLP	FPIs	Investments through Constituted Attorney
Signed A/c Payee cheque/draft favouring the scheme	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Copy of cancelled cheque (Required where pay out bank details are different from the instrument bank)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution / Authorisation to invest				✓	✓	✓		✓	✓	
List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	
Memorandum & Articles of Association				✓						
Trust Deed					✓					
Bye-Laws						✓				
Partnership Deed / Deed of Declaration							✓	✓		
Proof of PAN & KYC / CKYC - KIN number (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate		✓							✓	
Date of Birth Certificate or School Living Certificate or Passport of Minor evidencing relationship with Guardian			✓							
Declaration for Identification of Beneficial ownership				✓	✓	✓	✓	✓	✓	✓
FATCA / CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO/OCI (As applicable)		✓								

All documents for entities above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee ‘A’			
Nominee	**% share**	**Nominee**	**% initial share**	**% of A’s share to be apportioned**	**Total % share**
A	60%	A	0%	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**	**-**	**40%**	**60%**	**100%**

Declaration and signature^

I/We have read, understood the terms and conditions of the SID/KIM/SAI and the addendums issued thereto till date, as well as the rules and regulations of SEBI, AMFI, prevention of Money Laundering Act. 202, Foreign Account tax compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS Provision of the Central board of Direct Taxes notified Rules 114 F to 114 H, as part of the Income-tax Rules, 1962 and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unit holder. I/We hereby apply to the Trustees for allotment of Units of the Scheme(s) of AlphaGrep Mutual Fund (Fund) and confirm and declare as follows. I/We am/are eligible investor’s as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling/Judgment etc. passed by SEBI/Statutory Authority or Courts in India and Foreign laws. I/We am/are authorised to make this investment as per the constitutive documents/Authorizations. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act/Regulations/Rules/Notifications/Directions or any other applicable laws enacted by the Government of India or any Statutory Authority RIA Declaration. I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes managed y you, to the above mentioned SEBI-Registered Investment Adviser/RAI. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the above information is given by the undersigned and the particulars given by me/us are correct and complete. I/We hereby agree and authorize you to disclose, share, remit to any form/manner/mode the above information and/or any part of it including the changes/up-dates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management company, its employees, agents and third party service providers, SBI Registered intermediaries for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited of Financial intelligence unit-India (FIU-IND) etc. without any intimation/advice to me/us. I/We have read and understood the purpose(s), as described under the Privacy Policy of the AMC, for which my/our details can be shared with various entities/people/authorities indicated in foregoing Para and I/WE hereby consent to the same. I/We hereby confirm that I/We have not been offered/Communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its distributor for this investment. I/We hereby authorize the representatives of the Fund/AMC and its Associates to contact me through any mode of communication. This will override the registry on DND/DNDC, as the case may by.

^Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature			
Name of the Holder		Signature of two witness(es) along with name & address are required, if the account holder affixes thumb impression instead of signature	
		Name & Address of Witness	Witness Signature
Sole / 1st Holder (Mr/Ms.)		Witness 1 - Name: Address:	Witness 1
		Witness 2 - Name: Address:	Witness 2
2nd Holder (Mr./Ms.)		Witness 1 - Name: Address:	Witness 1
		Witness 2 - Name: Address:	Witness 2
3rd Holder (Mr./Ms.)		Witness 1 - Name: Address:	Witness 1
		Witness 2 - Name: Address:	Witness 2

GENERAL GUIDELINES FOR NFO APPLICATION FORM

a. Please read the Scheme Information Document/Key Information Memorandum of the respective Scheme carefully before investing.

b. Please furnish all information marked as 'MANDATORY': In the absence of any mandatory information, the application would be rejected.

c. The application form should be completed in ENGLISH and in BLOCK LETTERS.

d. All cheques, demand drafts and pay orders should be crossed "Account Payee only" and made in favour of "Scheme Name" - E.g. Alphagrep Flexi Cap Fund.

e. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s).

f. Any over-writing / changes made while filling the form must be authenticated by cancelling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.

g. Investors must write the application form number / folio number /PAN number on the reverse of the cheque/demand draft.

h. FATCA Declaration: Individual investors, please fill in FATCA / CRS annexure and attach along with Application form. Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website.

i. In case of new individual investors who are not KYC compliant, please fill the CKYC form issued by Central KYC Registry (CKYC) appended in the form and also available on our website.

j. In case of new non-individual investors, please fill the KYC application form issued by KYC Registration Agency available on our website https://alphagrepmf.ai

k. In case of existing individual and non individual investors who are KYC compliant, please provide the KYC acknowledgement issued by the KYC Registration Agency.

l. Please strike off sections that are not applicable.

INSTRUCTIONS FOR NFO APPLICATION FORM

1. DISTRIBUTOR INFORMATION

a. Commission (if any) shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

b. Please mention 'DIRECT' in case the application is not routed through any distributor.

c. Pursuant to SEBI circular (old) dated September 13, 2012, mutual funds have created a unique identity number of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This Employee Unique Identification Number is referred as "EUIN". EUIN aims to assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leaves the employment of the distributor or his/her sub broker. Quoting of EUIN is mandatory in case of advisory transactions.

d. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column "Sub broker ARN code" separately provided, in addition to the current practice of affixing the internal code issued by the main ARN holder in the "Sub broker code (as allotted by ARN holder)" column and the EUIN of the Sales Person (if any) in the "EUIN" column.

e. Distributor are advised to ensure that they fill in the RIA/PMRN code, in case they are a Registered Investment Advisor / Portfolio Manager.

f. Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP/STP and EUIN is not applicable for transactions such as Installments under SIP/STP/SWP, Redemption, SWP Registration.

g. Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders only (whether acting in the capacity of the main distributor or sub broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular.

2. MODE OF HOLDING

Please select mode of holding, if option left blank then default option of Anyone or Survivor will be considered.

3. EXISTING INVESTORS OF ALPHAGREP MUTUAL FUND

If you are an existing investor please mention your existing folio number, so that the unit will be allotted in the same folio. If it is blank, then new folio number will be generated.

4. SOLE/ FIRST APPLICANT'S DETAILS

a. Please furnish names of all applicants. The name of the Sole /First Applicant should be mentioned in the same manner in which it appears in the Income Tax PAN card. Please note the following:

- In case the applicant is a Non individual Investor (including HUF), then Legal Entity Identifier(LEI) Number is mandatory to be mentioned in the space provided. As per the RBI circular No. RBI/2020-21/82 - DPSS.CO.OD No.901/06.24.001/2021-21 dated January 05, 2021, it is mandatory for all Non-individuals to obtain Legal Entity Identifier(LEI) and quote the same for any transactions beyond Rs. 50 crore routed through RTGS / NEFT w.e.f 1st April'21.
- In case the applicant is a minor, the Guardian's name should be stated in the space provided (Name of Guardian / Contact Person). It is mandatory to provide the minor's date of birth in the space provided.

b. In case the application is being made on behalf of a minor, he / she shall be the Sole Holder/Beneficiary. There shall be no joint account with a minor unitholder. Please indicate the tax status of the sole/1 applicant at the time of investment. The abbreviations used in this section are: NRI: Non-Resident Indian Individual, PIO: Person of Indian Origin, FII: Foreign Institutional Investor, NGO: Non Government Organization, AOP: Association of Persons, BOI: Body of Individuals, HUF : Hindu Undivided Family.

c. Where the investment is on behalf of a Minor by the Guardian:

- The Minor shall be the first and sole holder in the account.
- No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
- Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
- A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photocopy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate/ mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. c) Passport of the minor d) Any other suitable proof evidencing the relationship.
- Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided. Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from the joint account of the minor with parent or legal guardian.
- If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

d. Politically Exposed Person (PEP)^

- ^PEP are defined as individuals who are or have been entrusted with prominent publicfunctions in a foreign country, e.g., Heads of States or of Governments, senior politicans, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.
- Domestic PEPS: Individuals who are or have been entrusted domestically with prominent public functions within India, for example Heads of State or of Governments, senior government, judicial or military officials, senior executives of state-owned corporations.
- Family members are individuals who are related to PEP either directly or through marriage or similar forms of partnership.

e. KYC Requirements and details:

Please furnish PAN & KYC details for each applicant/unit holder, including the Guardian and/or Power Of Attorney (POA) holders as explained in the below points.

- PAN

  It is mandatory for all investors (including guardians, joint holders, NRIs and power of attorney holders)to provide their Income Tax Permanent Account Number (PAN) and also submit a photo copy of the PAN card at the time of purchase of Units except for investors who are exempted from PAN requirement, please referto KYC Form for exemption of PAN requirement.

- KNOW YOUR CUSTOMER (KYC)
  - Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN) in application form as per AMFI circular 135/BP/68/2016-17. To download Common KYC Application Form, please visit our website https://alphagrepmf.ai
  - In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017: Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund.
  - Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or CKYC Form.
  - Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC Identifier ('KIN') will be generated for such customer.
  - New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.
  - AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.
  - If the PAN of investor is not updated on CKYCR system, the investor should submit self certified copy of PAN card to the Mutual Fund/ AMC.

- In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

- The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of AlphaGrep Mutual Fund on website https://alphagrepmf.ai. The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).

- Micro Investment

  With effect from October 30, 2012, where the aggregate of the lump sum investment, fresh purchase, & additional purchase and Micro SIP installments by an investor in a financial year i.e April to March does not exceed ₹50, 000/- it shall be exempt from the requirement of PAN.

  However, requirements of Know Your Customer (KYC) shall be mandatory. Accordingly, investors seeking the above exemption for PAN still need to submit the KYC Acknowedgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOS, HUFS, QFIs and other categories of investors will not be eligible for this exemption.

f. Contact Information

- Please furnish the full postal address of the Sole/ First Applicant with PIN/Postal Code and complete contact details. (P.O. Box address is not sufficient).
- As per SEBI letter SEBI/HO/IMD/DOF4/OW/P/2018/0000019378/1 dated July 9, 2018 and AMFI Best Practice Guidelines Circular No. 77/2018-19 the first/sole holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions. Individual investors must declare whether the primary email address and mobile number being provided belongs to Self or a Family member and tick the relevant code in the application form. The email id/ contact details mentioned on the application form should be the same as the ones provided in the KRA. If found different, the details mentioned on KRA records will be updated in the folio. Investor will need to update the email id/mobile number with the KRA in case of any change.
- Please note that all communication i.e. Account statement, Annual Report, News Letters will be sent via e-mail, if the e-mail id of the investor is provided in the application form. The Account statement will be encrypted with a password before sending the same to the registered email id. Should the unitholder face any difficulty in accessing/opening the Account Statements/documents sent via email, the unitholder may call/write to the AMC/Registrar and ask for a physical copy.
- Overseas address is mandatory for NRI/FII investors.

5. BANK DETAILS

- Please furnish complete Bank Account Details of the Sole/First Applicant. This is a mandatory requirement and applications not carrying bank account details shall be rejected.
- Please provide your complete Core Banking Account Number, (if applicable), in your Bank Mandate in the Application Form. In case you are not aware of the Core Banking Account Number, kindly check the same with your bankers.
- Please attach an original cancelled cheque leaf if your investment instrument is not from the same bank account mentioned in the Application form.
- AlphaGrep Mutual Fund will endeavour to remit the Redemption through electronic mode, wherever sufficient bank account details of the unit holder are available.

6. INVESTMENT/PAYMENT DETAILS

Plans:

The AMC has introduced a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number(ARN) Holder("Distributor")(hereinafter referred to as "Direct Plan").

- There shall be 2 Plans available for subscription underthe Schemes viz., Regular Plan and Direct Plan.
- Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan name in the application form as "Scheme Name – Direct Plan" e.g. "ALPHAGREP FLEXI CAP FUND". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.
- Please note, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
- Please indicate the Plan under which you wish to invest. Also indicate your choice for IDCW payout or re-investment. If any information is left blank, the default option will be available.
- Payment may be made only by Cheque or Electronic Fund Transfer. Cheque should be drawn in favour of the "Scheme name" - e.g. "ALPHAGREP FLEXI CAP FUND" and crossed "Account Payee only".
- Please refer to Scheme Information Document, Statement of Additional Information & Key Information Memorandum of the scheme for the Minimum amount criteria of the scheme. Please note that third party payments shall not be accepted.
- Third Party Payment shall mean payment made through an instrument issued from an account other than that of the beneficiary investor. In case of payment instruments issued from a joint bank account, the first named applicant/investor must be one of the joint holders of the bank account from which the payment instrument is issued. 'Related person/s' means such persons as may be specified by the AMC from time to time. Exceptions: MF will accept subscriptions to schemes of AlphaGrep Mutual Fund accompanied by Third- Party Payment Instruments only in the following exceptional cases:

a. Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/one time subscription, through Payroll deductions or deductions out of expense reimbursements.

b. Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.

c. Payment by an AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by such AMC through SIP or lumpsum/onetime subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

d. Payment by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/onetime subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

e. Payment by registered Stock brokers of recognized stock exchanges for their clients having demat accounts. The investors making an application under the above mentioned exceptional cases are required to comply with the following, without which their applications for subscriptions for units will be rejected / not processed.

- Mandatory KYC compliance of the investor and the person making the payment, in order to determine the identity of the investor and the person issuing the payment instrument.
- Submit a separate, prescribed, 'Third Party Payment Declaration Form 'from the beneficiary applicant/s and the person making the payment i.e., the Third Party, giving details of the bank account from which the payment is made and the relationship of the Third Party with the beneficiary. (The declaration form is available at https://alphagrepmf.ai
- Submit a cancelled cheque leaf or copy of bank statement /pass book mentioning bank account number, account holders' name and address or such other document as the AMC may require for verifying the source of funds to ascertain that funds have been remitted from the drawer's account only.

For identifying Third Party Payments, investors are required to comply with the requirements specified below :

a. Payment by Cheque:

An investor at the time of his/her purchase must provide the details of pay-in bank account (i.e. account from which a subscription payment is made) and pay-out bank account (i.e. account into which redemption are to be paid). Identification of third party cheques by the AMC / Registrars will be on the basis of either matching of pay-in bank account details with registered/pay-out bank account details or by matching the bank account number/name/ signature of the first named investor with the name/account number/signature available on the cheque. If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/investor should submit any one of the following documents:

- a copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number
- a letter* (in original) from the bank on the bank's letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). In respect of (ii) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number. Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

b. Payment by Prefunded Instrument:

- If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker 's cheque, etc., a certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unitholder should be one of the account holders to the bank account debited for issue of such instruments.
- A pre-funded instrument issued against cash shall not be accepted, except in case of payment made by Parents/Grandparents/related persons on behalf of a minor in consider should be accompanied by a certificate from the banker giving name, address and PAN of the person who has procured the payment instrument. The Certificate(s) mentioned in (i) and (ii) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

c. Payment by RTGS, NEFT, ECS, Bank transfer, etc:

A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account. The above broadly covers the various modes of payment for mutual fund subscriptions. The above list is only indicative not exhaustive list and any other mode of payment as introduced from time to time will also be covered accordingly. In case the application for subscription does not comply with the above provisions, the AMC / Registrars retains the Sole and absolute discretion to reject/not process such application and refund the subscription money and shall not be liable for any such rejection.

d. Lumpsum Investment

If you are from a city where there is no designated Investor Service Centre of AlphaGrep Mutual Fund you may make a payment by a Demand Draft for the investment amount. Please enter the cheque or DD amount, DD Charges (if applicable) and the investment amount.

NRI investors

NRI Investors and FPIs- NRIs and PIOs may purchase units of the scheme(s) on a repatriation and non-repatriation basis, while FPIs (erstwhile known as FIIs) may purchase units only on a repatriation basis and subject to applicable laws. They shall attach a copy of the cheque used for payment or a Foreign Inward Remittance Certificate (FIRC) or an Account Debit Certificate from the bankers along with the application form to enable the AMC to ascertain the repatriation status of the amount invested. The account type shall be clearly ticked as NRE or NRO or FCNR, to enable the AMC determine the repatriation status of the investment amount. The AMC and the Registrar may rely on the repatriation status of the investment purely based on the details provided in the application form.

Repatriation basis

NRIs and PIOs may pay their subscription amounts by way of Demand draft, cheques drawn on Non-Resident External (NRE) Accounts or Indian Rupee drafts payable at par at any of the centres where the AMC has a designated ISC and purchased out of funds held in NRE Accounts / FCNR (B) Accounts. FPIs may pay their subscription amounts either by way of inward remittance through normal banking channels or out of funds held in NRE Accounts / FCNR (B) maintained in accordance with Foreign Exchange Manage-

Non-Repatriation basis

Non-Repatriation basis - NRIs and PIOs may pay their subscription amounts by way of inward remittance through normal banking channels or out of funds held in NRE/FCNR (B)/ NRO account maintained in accordance with Foreign Exchange Management (Deposit) Regulations, 2016.

e. Systematic Investment Plan (SIP)

Please read Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the respective scheme for the applicability/ availability of Special Features in the respective scheme.

- Incase the investor opts for Normal SIPs the payment details of first installment needs to be provided.
- If you wish to register SIP, kindly fill the relevant SIP Registration & OTM Debit Mandate Form.

7. UNIT HOLDING OPTION (Demat / Non - Demat Mode)

- Investors can hold units in demat / non-demat mode. In case demat account details are not provided or details of DP ID / BO ID, provided are incorrect or demat account is not activated or not in active status, the units would be allotted in non-demat mode.
- Statement of Accounts would be sent to Investors who are allotted units in non-demat mode.
- Units held in dematerialized form are freely transferable with effect from October 01, 2011, except units held in Equity Link Savings Scheme during the lock-in period.

8. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA) FATCA & CRS TERMS & CONDITIONS:

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with AlphaGrep Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information

FATCA & CRS INSTRUCTIONS: If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

SEBI issued its circular no. CIR/MIRSD/2/2015 dated August 26, 2015 inter alia advising intermediaries to take necessary steps to ensure compliance with the requirements specified in the rules and guidelines specified by the Government of India. AMFI also issued its best practices guidelines circular no. 135/BP/63/2015-16 dated September 18, 2015 on this matter. The AMC and the Mutual Fund are required to adhere to various requirements inter alia including submission of various information / details relating to the investors in the schemes of the mutual fund, to authorities, as specified under the applicable laws. Accordingly, the following aspects need to be adhered to :

All investors will have to mandatorily provide the information and declarations pertaining to FATCA/CRS for all new accounts opened, failing which the application / transaction request shall be liable to be rejected.Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement CRS and FATCA under the relevant international treaties. Please consult your professional tax advisor for further guidance on your tax residency, if required. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS Indicia
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship; AND 3. Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence
Telephone number in a country other than India	If no Indian telephone number is provided 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than india; and 2. Documentary evidence If Indian telephone number is provided along with a foreign country telephone number 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence
Telephone number in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence

9. NOMINATION DETAILS

*Joint Accounts:

Event	Transmission of Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

1. Nomination shall be mandatory for single holding only. The requirement of nomination shall be optional for jointly held folios.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. The signatories for this nomination form in joint folios shall be the same as that of your joint MF folio i.e.
   a. 'Either or Survivor' Folios - any one of the holder can sign.
   b. 'Jointly' Folios - both holders have to sign.
5. A minor may be nominated. In that event, the Date of Birth (DoB) needs to be provided. It is optional to provide the name and PAN of the Guardian.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. You can make nomination or change nominee any number of times without any restriction.
10. You are entitled to receive an acknowledgement from the AMC for each instance of providing or changing nomination.
11. Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single folio.
12. In case all your nominees do not claim the assets from the AMC, then the residual unclaimed asset shall continue to be with the AMC in case of MF units.
13. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If percentage is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the Nomination form. (See table in 'Transmission aspects'-point number 22).
14. Every new nomination for a folio shall overwrite the existing nomination, if any.
15. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio.
16. Nomination shall stand rescinded upon the transfer of units.
17. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
18. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
19. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
20. In respect of folios where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
21. The mode of operation (of the joint account), namely that of the first named holder OR anyone or survivor OR either or survivor basis OR joint, etc. shall be un affected by the rule of survivorship.
22. Transmission Aspects :
    - AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nomimee is not required to provide affidavits, indemnitites, undertakings, attestations or notarization.
    - In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), emai address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
    - Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
    - In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below:

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

10. DECLARATION AND SIGNATURES

- Please tick the box provided for EUIN declaration in this section in case the ARN is mentioned in the distributor section and the EUIN is left blank.
- All signatures should be hand written in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in both cases must be attested by a Judicial Magistrate or a Notary Public.
- If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder
- In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.
- In case of application under POA or by a Non- Individual (i.e. Company, trust, society, partnership firm etc.)the relevant POA or the resolution should specifically provide for/ authorize the POA holder/ authorized signatory to make application/invest money on behalf of the investor.

11. GO GREEN INITIATIVE IN MUTUAL FUNDS

- With respect to the recent directives issued by SEBI via Gazette Notification SEBI/LAD-NRO/ GN/2018/14 & Circular SEBI / HO / IMD / DF2 / CIR / P/2018/92 regarding Go Green Initiative in Mutual Funds regarding disclosing and providing information to investors through digital platform as a green initiative measure.
- In line with above initiative, AlphaGrep Mutual Fund has adopted `Go Green Initiative for Mutual Funds' and accordingly, the scheme Annual Reports /Abridged Summary will be hosted on our website https://alphagrepmf.ai in downloadable format. Further, wherever email ids are registered in our records, the scheme Annual Reports / Abridged Summary will be sent via email.
- If you do not opt-in to receive a physical copy of the scheme Annual Report/Abridged Summary, you can view the same on our website or alternatively contact our registered office to get a physical copy of the Annual Report/Abridged Summary.

SIP REGISTRATION & OTM DEBIT MANDATE FORM

AlphaGrep MUTUAL FUND

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp & Reference No.

☐ **By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transactions in the scheme(s) of AlphaGrep Mutual Fund. (Please ✓ if applicable) *In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf.

Please Note: All field marked with asterisk (*) to be mandatorily filled.

1. UNIT HOLDER INFORMATION

Existing Folio Number ______ Existing UMRN ______

Name of Sole / 1st Applicant (Name as per IT Records) Mr. / Ms. / M/s. First Middle Last

2. SIP INVESTMENT & PAYMENT DETAILS

Please read the instructions before filling up this section

Scheme - **Alphagrep Flexi Cap Fund**

Please tick (✓) ☐ Regular Plan ☐ Direct Plan

☐ Growth (Default) ☐ IDCW Reinvestment (Default for IDCW)

☐ IDCW Payout

#SIP Frequency ☐ Weekly (Any day from Monday to Friday) ☐ Fortnightly (1st & 16th of each month) ☐ Monthly ☐ Quarterly

SIP Date* D D SIP Start M M Y Y Y Y SIP End M M Y Y Y Y (End date cannot exceed 40 years)

(#Default Weekly SIP - Monday Monthly SIP - 10th Fortnightly SIP - 1st and 16th Quarterly SIP - 10th)

SIP Amount (₹ in figures) ______ (₹ in words) In words

☐ SIP Top Up Facility (Optional) (✓ to avail facility) ☐ Fixed# OR ☐ Variable$ (Please fill the applicable section below) SIP Top Up Frequency ______

*In case of Quarterly SIP, only Yearly frequency is available under SIP Top Up Facility.

Fixed Top Up Amount #: ₹ ______ OR $Variable Top Up Percentage: ☐ 5% ☐ 10% ☐ 15% ☐ 20% ☐ Others (Multiple of 5% only)

The Fixed TOP UP amount shall be for minimum Rs. 500/-.

☐ SIP Top Up Cap Amount* : ₹ ______ OR ☐ SIP Top Up Cap Month: M M Y Y Y Y

(*Investor has to choose only one option either CAP amount or CAP month - year, In case of multiple selection, Top Up Cap amount will be considered as a default selection)

☐ First Installment Details

First SIP Transaction via Cheque No. ______ Cheque Dated D D M M Y Y Y Y Amount (₹) In Figures

Mandatory Enclosure (if 1st Installment is not by cheque) ☐ Blank cancelled cheque ☐ Copy of cheque

The name of the first/ sole applicant must be pre-printed on the cheque.

3. DECLARATION(S) & SIGNATURE(S) should be as it appears in the Folio / on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign.

I/We hereby authorise AlphaGrep Mutual Fund and their authorised service provider to debit the above bank account by NACH/ Auto Debit Clearing for collection of SIP payments. I/We understand that the information provided by me/us may be shared with third parties for facilitating transaction processing through NACH/ Auto Debit Clearing or for compliance with any legal or regulatory requirements. I/We hereby declare that the particulars given above are correct and complete and express my/our willingness to make payments referred above through participation in NACH/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We will not hold AlphaGrep Mutual Fund or their appointed service providers or representatives responsible. I/We will also inform, about any changes in my bank account immediately. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have read and agreed to the terms and conditions mentioned overleaf. The ARN holder has disclosed to me/us all he commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

"I/We acknowledge that the RIA has entered into an agreement with the AMC / MF for accepting transaction feeds under the code. I/We hereby indemnify, defend and hold harmless the AMC / MF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information." For Micro SIP only: I hereby declare that I do not have any existing Micro SIPs which together with the current application in rolling 12 month period or in financial year i.e. April to March will result in aggregate investments exceeding Rs. 50,000 in a year.

The default day of Weekly SIP as Monday, Monthly and Quarterly as 10th of the month. In case OTM is already registered, then a minimum gap of 10 days from the date of submission of request, if SIP amount is within the OTM Max Limit.

Sign of 1st Applicant / Authorised Signatory / POA	Sign of 2nd Applicant / Authorised Signatory / POA	Sign of 3rd Applicant / Authorised Signatory / POA

4. OTM DEBIT MANDATE FORM (Applicable for Lumpsum additional purchases as well as SIP Registrations)

AlphaGrep MUTUAL FUND

UMRN Bank use Date D D M M Y Y Y Y

Sponsor Bank Code Bank use ☑ CREATE ☐ MODIFY ☐ CANCEL

Utility Code ______ I/We hereby authorize **AlphaGrep Mutual Fund**

To Debit (tick✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other BankA/c ______

With Bank Name of customers bank IFSC / MICR ______

An Amount Of Rupees In words ₹ In figures

DEBIT TYPE ☒ Fixed Amount ☑ Maximum Amount FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ☑ As & when presented

PAN No ______ Phone No ______ Email ID ______

Reference 1 ______ Reference 2 ______

(1) I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. (2) This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. (3) I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.

PERIOD

From D D M M Y Y Y Y

To D D M M Y Y Y Y

Maximum period of validty of this mandate is 40 years only

Signature Of Primary Account Holder — Signature Of Joint Account Holder — Signature Of Joint Account Holder

1. Name Of Primary Account Holder 2. Name Of Joint Account Holder 3. Name Of Joint Account Holder

Instructions for Systematic Investment Plan (SIP)

Please read Scheme Information Document along with Statement of Additional Information and addendum issued from time to time before filling this form.

The Unit holders of the Scheme can benefit by investing specific amounts periodically, for a continuous period. In case of Equity/Debt and hybrid funds, at the time of registration, the SIP allows the investors to invest fixed equal amounts subject to minimum of Rs. 500/- and multiples of Rs.1/-

Following are the frequencies and minimum amount of SIP -
- Weekly, Fortnightly, Monthly, Quarterly.
- Minimum number of instalments for each frequency is 6(Six)

Investors can enroll themselves for SIP in the Scheme by ticking appropriate box on the application form.

Investors can subscribe to SIP by using OTM. The cheques should be in favour of the scheme and crossed "Account Payee Only", and the cheques must be payable at the centre where the applications are submitted to the Investor Service Centre.

In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs from the name on the Cheque, then the AMC will allot units under the Scheme mentioned on the application form/transaction slip.

Further, investors/unitholders subscribing through SIP are required to submit the SIP application form at least 21 calendar days prior to the first SIP date. In case of NFO SIP, the first SIP instalment shall be processed only after 21 calendar days from the NFO allotment date

In case existing mandates are successfully registered, a new SIP registration will take upto five business days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s).

A fresh Account Statement / Transaction Confirmation will be mailed to the Unitholder, indicating the new balance to his/her credit in the Account. An investor will have the right to discontinue the SIP, subject to giving 2 calendar days prior notice in writing or by filling SIP cancellation form to the subsequent SIP date.

New: Please fill NFO Application Form along with SIP Registration & OTM Debit Mandate Form. If the investor fails to mention the scheme name in the SIP Registration Form, then the Fund reserves the right to register the SIP as per the scheme name available in the NFO application. In case of any ambiguity in the form, Fund reserves the right to reject the SIP request.

Existing: Please fill SIP Registration & OTM Debit Mandate Form and mention the existing folio number. If the investor fails to mention the scheme name in the SIP Registration Form, then the Fund reserves the right to register the SIP in the existing scheme (Eligible for SIP) available in the Folio. In case Multiple Schemes are available in the folio then Fund reserves the right to reject the SIP request.

- The SIP registration will be discontinued or considered as closed/ cancelled by the AMC upon 3 failed debit attempts under Weekly, Fortnightly and monthly frequencies and 2 failed debit attempts under Quarterly Frequencies.

- The AMC will endeavour to have the cancellation of registered SIP mandate within 02 Business days from the date of receipt of the cancellation request from the investor. The existing instructions / mandate would continue till the date that when it is confirmed the SIP has been cancelled.

- In case of SIP investments, where the entire instalment amount is not available in the bank account, the SIP for that month would be rejected. Allocation to a particular Scheme or pro–rata allocation to Schemes will not be carried out. For e.g. the investor has SIPs in Scheme A and Scheme B of the AMC for amounts of Rs. 2000 each. If the investor has less than Rs. 4000 in the bank account on the day of SIP, no order will be placed.

- As per NPCI Circular NPCI/NACH/OC No.012/2023-24, mandate can be registered for a maximum duration of 40 years. An investor has to mandatorily enter the ‘End Date’ of the mandate by filling the date for a maximum period of 40 years from the start date or less

- If the OTM end date is more than 40 years, then the OTM Mandate will be rejected.

Default Dates:

Please read Scheme Information Document along with Statement of Additional Information and addendum issued from time to time before filling this form. The Unit holders of the Scheme can benefit by investing specific amounts periodically, for a continuous period.

- For Weekly SIP, Every Monday will be the default day and in case of Fortnightly SIP 1st and 16th will be the default option.
- The SIP transaction (in weekly / fortnightly) will happen only on business day. For e.g. if the default day falls on a non-working day, the transaction will take place on the next working day only.
- If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 21 Calendar days lead time from the receipt of SIP request
- In case the SIP 'End period' is incorrect or not mentioned by the investor in the SIP form, then the default end period would be 40 years from the start date until further instructions are received from investor.

SIP Top Up Facility:

SIP Top – up Investors can opt for SIP Top-Up facility with Fixed Top - up option or Variable Top -up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top -up option shall be triggered. The fixed Top -up amount shall be in multiples of Rs 500/- Variable Topup would be available at 5%, 10%, 15% and 20% and such other denominations (over and above 10%, 15% and 20%) as opted by the investor in multiples of 5% If the investor does not choose any option then the default option for SIP Top-Up facility would be Variable Top -up option.In case of Quarterly SIP, only the Yearly frequency is available under SIP Top-up. SIP Top-Up facility shall also be available only for the existing investors who have already registered for SIP facility without Top-up option.

SIP Top-up Cap Amount: Investor has an option to freeze the SIP Top-up amount once it reaches a fixed predefined amount. The fixed pre-defined amount should be lower than or equal to the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount and the maximum amount mentioned in the Bank mandate, then the amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount

SIP Top-up Cap Month –Year: It is the date from which SIP Top-up amount will cease and last SIP instalment including Top-up amount will remain constant from Cap date till the end of SIP tenure. Investor shall have flexibility to choose either Top-up Cap amount or Top-up Cap month - year. In case of multiple selection, Top-up Cap amount will be considered as a default selection. Top-up Cap is applicable for Fixed Top-up option as well as Variable Top-up option.

DETAILS OF ULTIMATE BENEFICIAL OWNER INCLUDING ADDITIONAL FATCA & CRS INFORMATION

AlphaGrep MUTUAL FUND

(Only for Non Individuals)

Please Note: All field marked with asterisk (*) to be mandatorily filled.
Please tick the applicable tax resident declaration:

Name of the entity

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

PAN | Date of Incorporation D D / M M / Y Y Y Y

City of Incorporation | Country of Incorporation

Entity Constitution Type (Please✓ as appropriate)
☐ Partnership Firm ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP / BOI ☐ Trust ☐ Liquidator ☐ HUF
☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others (Please Specify)

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number%	Identification Type (TIN or Other, please specify)

%In case Tax Identification Number is not available, kindly provide its functional equivalent.
In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

ADDITIONAL KYC INFORMATION

Gross Annual Income (₹) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5-10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ 1 Crore

OR

Net - worth* (for Non-Individual) ₹ as on D D / M M / Y Y Y Y (Not older than 1 year)

*Politically Exposed Person (PEP) Status#(Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ PEP ☐ Related to PEP ☐ Not Applicable

Is the entity involved in any of the mentioned services: (Please tick as appropriate)
☐ Foreign exchange/ Money changer ☐ Gaming/ Gambling/ Lottery (Casinos, betting syndicates)
☐ Money lending/ Pawning ☐ Not applicable

#PEP are defined as individuals who are or have been entrusted with promintent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a, Financial institution [5] ☐ **OR** Direct reporting NFE [6] ☐	GIIN Note: If you do not have a GIIN but you are sponsored by another entity , please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity
GIIN not available (please tick as applicable) If the entity is a financial institution,	☐ Applied for ☐ Not required to apply for - please specify 2 digits sub-category [7] ☐ Not obtained - Non-participating FI

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1	Is the Entity a publicly traded company [1] (that is, a company whose shares are regularly traded on an established securities market) ☐ No	Yes ☐ (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange
2	Is the Entity a related entity [2] of a publicly traded company (a company whose shares are regularly traded on an established securities market) ☐ No	Yes ☐ Security ISIN Name of listed company Nature of relation: ☐ Subsidiary of the Listed Company **or** ☐ Controlled by a Listed Company Nature of stock exchange
3	Is the Entity an active [3] NFE ☐ No	Yes ☐ Nature of Business Please specify the sub-category of Active NFE (Mention code-refer 2c of Part C)
4	Is the Entity a passive [4] NFE ☐ No	Yes ☐ Nature of Business

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Catagory (Please tick application category) ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company ☐ Unincorporated association / body of individuals ☐ Private Trust ☐ Public Charitable Trust ☐ Religious Trust ☐ Others

UBO / Controlling Person(s) details:

(Please refer instructions no. 3.iv)

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ **Yes** ☐ **No**

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#			
UBO / SMO PAN # For Foreign National, TIN to be provided]			
% of beneficial interest # Please tick (✓)	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐	>10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA. (for SMO) ☐
UBO / SMO Country of Tax Residency #			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number #			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth #	Place of Birth ____ Country of Birth ____	Place of Birth ____ Country of Birth ____	Place of Birth ____ Country of Birth ____
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP # Please tick (✓)	Yes – PEP ☐ Yes – Related to PEP ☐ N – Not a PEP ☐		
UBO / SMO Address [include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type Please tick (✓)	Residence ☐ Business ☐ Registered Office. ☐		
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender Please tick (✓)	Male ☐ Female ☐ Others ☐		
UBO / SMO Father's Name			

UBO / SMO Occupation **Please tick (✓)**	**Public Service** ☐ **Private Service** ☐ **Business** ☐ **Others** ☐		
SMO Designation #			
UBO / SMO KYC Validated? **Please tick (✓)**	☐ **Yes /** ☐ **No.** **If 'Yes,' please attach the KYC acknowledgement.** **If 'No,' complete the KYC and confirm the status.**	☐ **Yes /** ☐ **No.** **If 'Yes,' please attach the KYC acknowledgement.** **If 'No,' complete the KYC and confirm the status.**	☐ **Yes /** ☐ **No.** **If 'Yes,' please attach the KYC acknowledgement.** **If 'No,' complete the KYC and confirm the status.**

Mandatory column.
Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.
* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
Please note that you may receive more than one request for information if you have multiple relationships with AlphaGrep Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the USTax Identification Number.
$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and AlphaGrep Investment Management Private Limited and the RTA can make reliance on the same. I/We hereby authorize AlphaGrep Investment Management Private Limited and its RTA to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to AlphaGrep Mutual Fund, its Sponsor, Asset Management Company and trustees. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep AlphaGrep Investment Management Private Limited or its RTA informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required by AlphaGrep Investment Management Private Limited, the RTA or by domestic or overseas regulators/ tax authorities.

Name

Designation

Designation	Designation	Designation

Date D D / M M / Y Y Y Y

Place

1. **Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.**

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of

  (i) The three financial years preceding the year in which determination is made; or

  (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

  (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or

  (ii) Individual and collective portfolio management, or

  (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

  or
- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

  An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

  (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made;

  or

  (ii) The period during which the entity has been in existence.

  The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06-refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- **FI not required to apply for GIIN:**

  A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers& Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. **Non-financial entity (NFE)-Foreign entity that is not a financial institution**

   Types of NFEs that are regarded as excluded NFE are:

   a. Publicly traded company (listed company)

   A company is publicly traded if its stock are regularly traded on one or more established securities markets

   (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

   b. Related entity of a publicly traded company

   The NFE is a related entity of an entity of which is regularly traded on an established securities market

   C. Active NFE: (is any one of the following):

   Code Sub-category

   01 Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;

   02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;

   03 Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

   04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;

   05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

   06 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

   07 Any NFE that fulfills all of the following requirements:

   - It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
   - It is exempt from income tax in India;
   - It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

   The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

   The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

   Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:

   (I) an Investor Protection Fund referred to in clause (23EA);

   (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and

   (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

(i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or

(ii) an investment entity defined in clause (b) of these instructions

(ii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of:

(1) Dividends,

(2) Interest

(3) Income equivalent to interest,

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

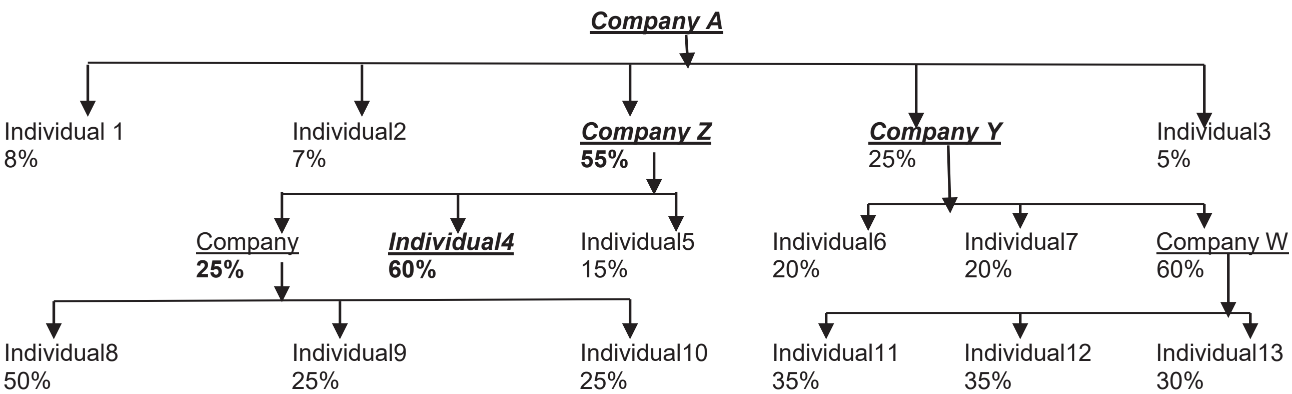
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide - SEBI circular SEBI/HO/AFD-2/CIR/P/2022/175 dated December 19, 2022 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

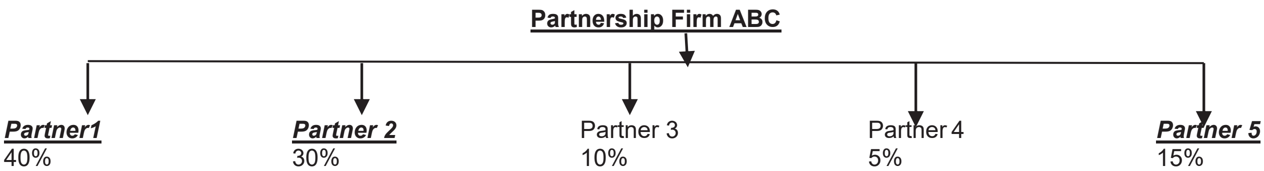
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



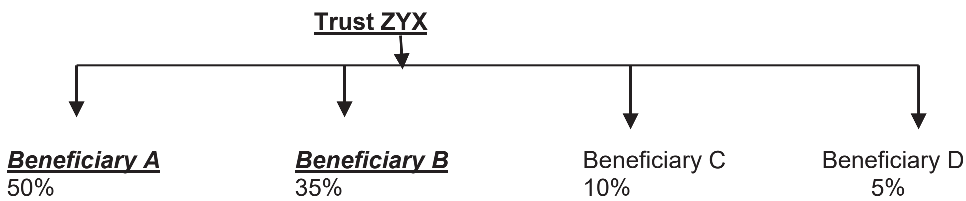
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >10% of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >=10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

Declaration Form of Non-Profit Organization (NPO)
(Mandatory for Trusts/Society)

AlphaGrep MUTUAL FUND

Investor Name										
PAN										

☐ I/We hereby confirm that above stated entity/organization is falling under “Non-profit organization” [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

☐ Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal	

If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, AlphaGrep Investment Management Private Limited and the RTA will be required to register your entity on the said portal and/or report to the relevant authorities es as applicable.

☐ I/We hereby confirm that the above stated enitty / organization is NOT falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you AlphaGrep Investment Management Private Limited and the RTA to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to AlphaGrep Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund’s end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory	Authorized Signatory	Authorized Signatory

Place: ______________________

Date: __/ ___/ ____

ASBA APPLICATION FORM

AlphaGrep MUTUAL FUND

Alphagrep Flexi Cap Fund - APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA)

Offer for Units of Rs. 10 Per Unit for cash during the New fund Offer Period and at NAV based prices upon re-opening

NFO Start Date: 21st July 2026 | NFO Close Date: 4th August 2026

BROKER/AGENT INFORMATION FOR OFFICE USE ONLY

Broker Stamp & ARN Code	Broker Stamp & ARN Code	Employee Unique ID No.	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.

☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors.

Sole / First Applicant's Signature Mandatory

DECLARATION

Having read and understood the contents of the Scheme information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of AlphaGrep Mutual Fund for Units of the Scheme mentioned below and agree to abide by the terms and conditions, rules and regulations of the Scheme.I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode.) payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from fund in my / our Non-Resident External / Ordinary Account/FCNR Accounts(s).

APPLICANT'S DETAILS

Name of First Applicant (as available in Demat Account)

Name of Second Applicant (as available in Demat Account)

Name of Third Applicant (as available in Demat Account)

Mode of Holding: ☐ Single ☐ Joint (default) ☐ Either or Survivor   Folio No.

DEPOSITORY ACCOUNT DETAILS: [mandatory] [Refer Instruction k]

Depository Name [Please ✓ tick] ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited

Depository Participant Name

DP-ID

Beneficiary Account Number

INVESTMENT DETAILS [Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy in scheme details]

Scheme - Alphagrep Flexi Cap Fund

Please tick (✓) ☐ Regular Plan ☐ Direct Plan

☐ Growth (Default)

☐ IDCW Payout

☐ IDCW Reinvestment (Default for IDCW)

BANK ACCOUNT FOR BLOCKING OF FUNDS [Refer Instruction d] [Bank Account should be in the name of First Applicant only]

Bank Account Number

Bank Name & Branch Address

Total Amount to be blocked (₹)

UNDERTAKING BY ASBA INVESTOR

1)I/We hereby under take that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements.) Regulation 2009 ('SEBI Regulation') as amended from time to time. (2) In accordance with ASBA process Provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB/ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/AlphaGrep Mutual Fund on receipt of instructions from its Registrar and Transfer Agent after the allotment of the Units entiting me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instruction to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account/ Bank account of AlphaGrep Mutual Fund. (3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. (4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records. the application shall be rejected and AlphaGrep Mutual Fund or AlphaGrep Investment Management Private Limited or SCSB shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided]. Amount applied for and the account number from where NFO amount was blocked.

CONTACT DETAILS OF 1ST APPLICANT

Tel. No. (with STD code)   Mobile

Email ID

PAN SIGNATURE	Sole / First Applicant / Guardian	Second Applicant	Third Applicant
	PAN MANDATORY	PAN MANDATORY	PAN MANDATORY

ACKNOWLEDGEMENT FOR SCSB

Plan/Option   ASBA Application No.:_______________ Date D D / M M / Y Y Y Y

Received from 1st Applicant   PAN

Depository Name [Please ✓ tick] ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited

Depository Participant Name

DP-ID

Beneficiary Account Number

SCSB ACCOUNT DETAILS: Bank A/c No.   Bank Name & Branch

ACKNOWLEDGEMENT FOR INVESTOR

Plan/Option   ASBA Application No.:_______________ Date D D / M M / Y Y Y Y

Received from Mr./Ms./Mrs./M/s.:	SCSB Account details	Total Amount to be Blocked	SCSB Stamp, Signature
	A/c No.	Rs. in figures	Date & time of receipt
Address:	Bank Name	Rs. in words	
	Branch Name		

ASBA Forms should be submitted only with an authorized branch of designated SCSBs. Not to be submitted at any offices of AlphaGrep Mutual Fund or its Registrar, Computer Age Management Services (CAMS).

Application Supported by Blocked Amount (ASBA)

ASBA provides an alternative mode of payment whereby the application money remains in the investor's account till allotment of units. ASBA process facilitates investors to apply through Self Certified Syndicate Banks (SCSBs), in which the investors have their bank accounts. SCSBs are those banks which satisfy the conditions laid by SEBI. SCSBs would accept the applications, verify the application, block the fund to the extent of investment amount, unblock once the allotment is finalized and debit the investor's account.

Instructions and Terms and Conditions

Please read Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM), all relevant Addenda available with AlphaGrep Mutual Fund offices and instructions carefully before filling up the application form. Investors are deemed to have read, understood and accepted the terms subject to which these offers are being made and bind themselves to the terms upon signing the application form

1. This application form may be used by both resident and non-resident investors. For more details, please read the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Notice and addenda issued in this reference.

2. ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.

3. An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically to the SCSB with whom the investors holds the bank account which is to be blocked

   i. In case of ASBA application in physical mode, the investor shall submit the ASBA form at the bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.

   ii. In case of ASBA application in electronic form, the investor shall submit the ASBA form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund schemes authorising to block the subscription money in a bank account.

4. The Bank Account Number in the form should necessarily be of the first applicant only. In case where the bank account is jointly held, the first applicant should be one of the joint holders.

5. ASBA application form not be accepted by any of the offices of AlphaGrep Mutual Fund or its Registrar & Transfer Agent, i. e Computer Age Management Services (CAMS)

6. Investors shall ensure that the bank account details mentioned in the ASBA application form is correct and the funds are available in the account for the SCSB to block the amount.

7. Upon submission of an ASBA form with the SCSB, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the designated branch to block such amount in the Bank account.

8. The SCSBs shall block the subscription money based on the authorization given by the account holder in the ASBA application form. The subscription money shall remain blocked in the Bank account till allotment of units under the scheme or till rejection of the application, as the case may be.

9. The SCSBs may at any time before the closure of the NFO reject the ASBA application and inform the investor accordingly.

10. During processing of the application by the RTA, if the applications is found to be incomplete or incorrect, the SCSB will be informed on the same who will then unblock the investor account with appropriate remarks in the investor account

11. The names of the applicants, the manner of holding, the mode of holding in the application form should be exactly matching with the information available in the demat account. In case of any mismatch, incorrect or incomplete information, the application may be rejected by the SCSB or the Registrar & Transfer Agent.

12. All investor related details for allotment of units such as names of the applicants, manner of holding, mode of holding, bank account, etc will be updated as per the demat account.

13. The investors should check their demat account for allotment of units within 5 working days of the NFO closure. No physical account statement will be sent to the investors by AlphaGrep Mutual Fund or its registrar, Computer Age Management Services (CAMS).

14. All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the issue, with a copy to the SCSB, giving full details such as name, address of the applicants, subscription amount blocked on application, bank account number and the designated branch or the collection centre of the SCSB where the ASBA from was submitted by the investor.

15. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before 3.00 p.m. or such other time as may be decided by respective SCSBs.

16. AlphaGrep Mutual Fund or its Registrar, Computer Age Management Services (CAMS). shall not be liable for any negligence or mistake committed by the SCSBs.

17. Further, Investors may please note that the Fund/ AMC and its empanelled brokers has not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/ portfolio with regard to the scheme.

18. Note on EUIN: Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

19. Grounds for rejection of ASBA applications ASBA application forms can be rejected by the AMC/Registrar/SCSBs, on the following technical grounds:

   i. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.

   ii. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.

   iii. ASBA Application Form without the stamp of the SCSB.

   iv. Application by any person outside India if not in compliance with applicable foreign and Indian laws.

   v. Bank account details not given/incorrect details given.

   vi. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA application form.

   vii. No corresponding records available with the Depositories matching the parameters namely (a)

   Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c)

   Beneficiary account number or any other relevant details pertaining to the Depository Account.

   viii. Insufficient funds in the investor's account.

   ix. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.